

Investor Risk Tolerance Questionnaire



Please select an answer for each of the risk tolerance questions below.

1. How would you rate yourself with the following investment objectives?

Minimizing Risk Most Important

Maximizing Growth Most Important

- 1 2 3 4 5 6 7 8 9 10

2. How much risk are you willing to accept to increase your return?

Very Little

A Substantial Amount

- 1 2 3 4 5 6 7 8 9 10

3. How important is low volatility to you?

Not Important

Very Important

- 1 2 3 4 5 6 7 8 9 10

4. How would you rate your knowledge of investments?

I Know Very Little

Expert Knowledge

- 1 2 3 4 5 6 7 8 9 10

5. Which of the following best describes your current investment portfolio?

Very Safe, All Bonds

Modest Risk, Mostly Bonds

Moderate Risk, Mostly Stocks

Aggressive, All Stocks

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6. Which of the following options best describes your reaction when reviewing your account statements? (*choose one*)

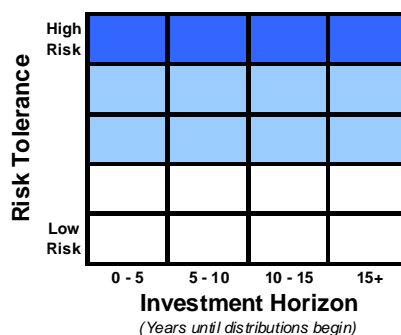
1. I am very concerned if losses appear and I immediately look to make changes to the investments showing the loss.
 2. I am concerned if losses appear and will usually wait only a few weeks for a recovery before making changes.
 3. I am concerned if losses appear but I am willing to stick it out a few quarters to see if the investments recover.
 4. I rarely make changes and I am not concerned if losses appear if all of the markets appear to be down.

7. What of the following best describes your primary goal for your investments? (*choose one*)

1. Preserve the value of my investments and minimize the risk of investments losing money.
 2. My investments should be relatively safe with only a slight level of risk.
 3. Build the value of my investments gradually but prefer about half of my investments to be relatively safe from risk.
 4. Grow my investments over time and I don't mind having more than half of my investments bearing a high level of risk.
 5. Have the value of all my investments grow substantially and I am willing to tolerate a *considerable* level of risk.

8. In the grid below, please check one box that best matches the intersection of your risk tolerance and investment horizon.

Check only one box



Name _____

Date _____