

Investor Risk Assessment



1) On a scale of 1-100, with 1 being the lowest and 100 being the highest, how much risk are you willing to accept to potentially increase returns?

_____ (Spouse, if different)

2) How important is avoiding loss and preserving principal? (select one box)

Not very important Very Important
Willing to take risk to achieve growth *Desire safety, content with lower potential returns*
 1 2 3 4 5 6 7 8 9 10

3) How would you rate yourself with the following investment objectives? (select one box)

Safety is Priority Growth is Priority
Low Risk of Potential Loss *High Risk of Potential Loss*
Lower Expected Returns *Higher Potential Returns*
 ← 5-s 4-s 3-s 2-s 1-s 1-g 2-g 3-g 4-g 5-g →

4) How important is maximum growth? (select one box)

Not very important Very Important
Prefer safer investments, even if lower returns *Willing to take higher risk of potential loss*
 1 2 3 4 5 6 7 8 9 10

5) How important is generating income for current needs? (select one box)

Not important Moderately Important Very Important
 1 2 3 4 5 6 7 8 9 10

6) When do you expect to begin drawing income from your investments? (select one box)

20+ years 10-20 years 5-10 years 0-5 years immediately

7) What type of average annualized returns do you typically expect in your portfolio? (select one box)

0-3%	3-5%	5-7%	7-9%	10+%
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Lower Risk</i>	<i>Low-Moderate Risk</i>	<i>Moderate Risk</i>	<i>Higher Risk</i>	<i>Speculative Risk</i>
<i>Lower Returns</i>	<i>Modest Returns Likely</i>	<i>Modest Returns Possible</i>	<i>Moderate Returns Possible</i>	<i>High Returns Possible</i>
<i>Ann. Losses Rare</i>	<i>Ann. Losses Possible</i>	<i>Occasional Ann. Losses Likely</i>	<i>Significant Ann. Losses Possible</i>	<i>Highly Unpredictable</i>
<i>Predictable</i>	<i>Some Uncertainty</i>	<i>Varying Uncertainty</i>	<i>Greater Uncertainty</i>	<i>Substantial Ann. Losses Possible</i>
<i>Mostly Cash / MMkt</i>	<i>Mix of Bonds and Some Stocks</i>	<i>Mix of Bonds and Stocks</i>	<i>Mostly Stocks, Some Bonds</i>	<i>All Stocks / Alternatives</i>

_____ Name

_____ Date

_____ Name

_____ Date